



Customer No.: 26308

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Vandlik et al

Attorney Docket No.: F-5489 CIP2 Con

Serial No.: 10/765,498

Examiner: P. Bianco

Filed: January 26, 2004

Group Art Unit: 3762

Title: Blood Processing Systems and Methods that Employ an In-Line Flexible Leukofilter

Request for Correction of Inventorship

Pursuant to 37 C.F.R. §1.48(c)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests, pursuant to 37 C.F.R. §1.48(c), correction of inventorship in the above identified case by the addition of joint inventors Tom Westberg and Rohit Vishnoi. The addition of inventors is necessitated by amendment of the claims to add subject matter not present in the claims at the time this case was filed.

As required by 37 C.F.R. §1.48(b), accompanying this Request are:

1. Statements from added inventors Tom Westberg and Rohit Vishnoi that their addition is necessitated by amendment of the claims and that the inventorship error occurred without any deceptive intent on his part (TAB 1).
2. An assignment, executed by the added inventors Tom Westberg and Rohit Vishnoi, with a Request for Recordation (TAB 2). The assignment of the originally inventors Mark R. Vandlik, Michael J. Kast, and Kelly B. Smith has been previously recorded in the parent application (Serial Number 09/976833, now US 6,709,412) in Reel/Frame 012582/0905.
3. The written consent of the assignee to the correction (TAB 3).
4. A Declaration by the actual inventors as required by 37 C.F.R. §1.63 (TAB 4). Originally-named inventor (and assignor) Kelly B. Smith cannot at the present time be reached for signature (she has moved and her exact whereabouts are not known), and a Petition under 37 C.F.R. § 1.183

(TAB 5) requesting a waiver of the requirement of 37 C.F.R. § 1.64 when as here, assignee has consented to the correction (see MPEP 201.03 (B)), accompanies this Petition for Correction.,

5. The processing fee as set forth in 37 C.F.R. §1.17(i).

A check payable in an amount to cover the requisite processing fee for this Request to Change Inventorship and Request for Recordation of Assignment is attached. You are authorized to charge any excess fees, or to credit overpayments, to Deposit Account No. 06-2360. A copy of this Request (without attachments) is attached for this purpose.

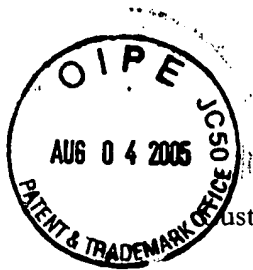
Approval of this Request is respectfully solicited.

Respectfully Submitted,

By

Daniel D. Ryan, Reg. No. 29,243

RYAN KROMHOLZ & MANION, S.C.
Post Office Box 26618
Milwaukee, Wisconsin 53226
(262) 783 - 1300
Customer No.: 26308



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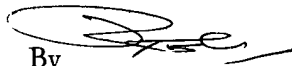
Title: Blood Processing Systems and Methods that Employ an In-Line Flexible Leukofilter

**STATEMENT OF ROHIT VISHNOI
UNDER 37 C.F.R. 1.48(c) (2)**

I, Rohit Vishnoi, do understand that a petition has been made to change the inventorship in this patent by adding me as a joint inventor. I also understand that the addition was necessitated by amendment of the claims. The inventorship error occurred without any deceptive intention on my part.

I know that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize the validity of the patent issued hereon. All statements made of my own knowledge are true and all statements made on information and belief are believed to be true.

Dated 7/28/05

By 
Rohit Vishnoi



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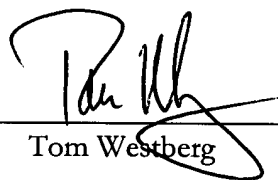
**STATEMENT OF TOM WESTBERG
UNDER 37 C.F.R. 1.48(c) (2)**

I, Tom Westberg, do understand that a petition has been made to change the inventorship in this patent by adding me as a joint inventor. I also understand that the addition was necessitated by amendment of the claims. The inventorship error occurred without any deceptive intention on my part.

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Dated 7/25/05

By


Tom Westberg

RYAN KROMHOLZ & MANION, S.C.

17288



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DATE	DESCRIPTION	INVOICE #	AMOUNT	DEDUCTION	NET AMOUNT	
08/04/05	Commissioner for Patents 08/04/05 F-5489 CIP 2 CON Petition Correction of Inventorship		130.00		130.00	
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CHECK DATE: 08/04/05 CONTROL NUMBER: 17288

17288

RYAN KROMHOLZ & MANION, S.C.
POST OFFICE BOX 26618
MILWAUKEE, WI 53226-0618

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79-57-759

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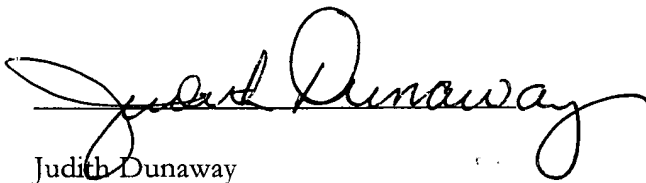


CERTIFICATE OF EXPRESS MAIL



I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Express Mail No.: EV480412193-05

By: 
Judith Dunaway

Date: 4 August 2005

ATTACHED HEREWITH:

CHECK NO 17288 FOR \$130.00
REQUEST FOR CORRECTION OF INVENTORSHIP (2 PAGES)
TAB 1 - STATEMENT OF ROHIT VISHNOI (1 PAGE)
STATEMENT OF TOM WESTBERG (1 PAGE)
TAB 2 - CHECK NO.: 17287 FOR \$40.00
RECORDATION FORM COVER SHEET (1 PAGE)
ASSIGNMENT (1 PAGE)
USPTO ASSIGNMENTS ON THE WEB (1 PAGE)
TAB 3 - CONSENT OF ASSIGNEE TO CHANGE OF INVENTORSHIP PURSUANT TO 37 CFR 1.48 (1 PAGE)
TAB 4 - COMBINED DECLARATION AND POWER OF ATTORNEY (6 PAGES)
TAB 5 - CHECK NO 17289 FOR \$130.00
PETITION PURSUANT TO 37 CFR 1.183 REQUESTING WAIVER... (2 PAGES)

YOU ARE AUTHORIZED TO CHARGE ANY EXCESS FEES, OR TO CREDIT OVERPAYMENTS, TO DEPOSIT ACCOUNT NO. 06-2360.


DANIEL D. RYAN REG. NO. 29,243



Customer

Patent

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Dated 7/28/05

By 
Rohit Vishnoi

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Attorney Docket No.: F-5489 CIP2 Con

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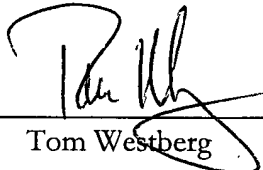
Title: Blood Processing Systems and Methods that Employ an In-Line Flexible Leukofilter

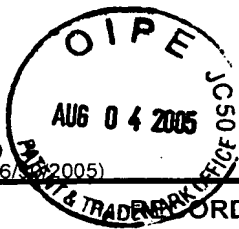
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Dated 7/25/05

By 
Tom Westberg



ASSIGNMENT RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Tom Westberg
Rohit Vishnoi

Execution Date(s) 7/25/2005 and 7/28/2005

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Baxter International Inc.

Internal Address: _____

Street Address: One Baxter Parkway

City: Deerfield

State: Illinois

Country: US Zip: 60015

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.
A. Patent Application No.(s)
10/765,498
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Daniel D. Ryan

Internal Address: Ryan Kromholz & Manion, S.C.

Street Address: P.O. Box 26618

City: Milwaukee

State: Wisconsin Zip: 53226

Phone Number: 262 783 1300

Fax Number: 262 783 1211

Email Address: _____

6. Total number of applications and patents involved:

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 06-2360

Authorized User Name Daniel D. Ryan

9. Signature:

4 August 2005

Date

Daniel D. Ryan

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

Serial No. ⁽¹⁾ 10/765,498Filed ⁽¹⁾ 01/26/2004

In consideration of ONE DOLLAR and other good and valuable considerations, the receipt and sufficiency whereof are hereby acknowledged, we hereby assign to BAXTER INTERNATIONAL INC. (hereinafter referred to as "assignee"), a corporation of Delaware, having a principal place of business at DEERFIELD, ILLINOIS, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in our invention or improvements in

**(2) Blood Processing Systems and Methods that Employ an
In-Line Leukofilter Mounted in a Restraining Fixture**

and in the application for Letters Patent of the United States therefor, executed by each of us individually on the date(s) indicated below and any and all other United States applications and applications in any and all countries which we may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States or of any other country which may be obtained on any of the said applications, and in any reissue or extension thereof.

We hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said BAXTER INTERNATIONAL INC. We hereby authorize and request the attorneys of record in said application to insert in this assignment the date and serial number of said application when officially known.

We warrant ourselves to be the owners of the interest herein assigned and to have the right to make this assignment; and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

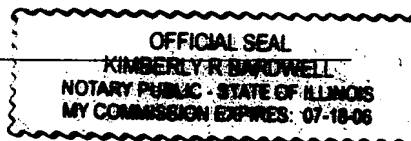
For said considerations we hereby agree, upon the request and at the expense of said assignee, its successors, legal representatives and assigns, to execute any and all divisional, continuation, and renewal applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application that said assignee, its successors, legal representatives and assigns may deem necessary or expedient, and for the said considerations we further agree, upon the request of said assignee, its successors, legal representatives and assigns, in the event of said application or any division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof becoming involved in interference, to cooperate to the best of our ability with said assignee, its successors, legal representatives and assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. We further agree to perform, upon such request, any and all affirmative acts to obtain Letters Patent, and vest all rights therein hereby conveyed in the said assignee, its successors, legal representatives and assigns whereby said Letters Patent will be held and enjoyed by the said assignee, its successors, legal representatives and assigns to the end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made, and for the said considerations we hereby also assign to said assignee, its successors, legal representatives and assigns the entire right, title and interest in said invention or improvements for any and all foreign countries and the right of priority for patent and utility model applications in all countries arising under any applicable international convention for the protection of industrial property and/or any internal priority legislation of such countries, and we further agree upon the request of said assignee, its successors, legal representatives and assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said assignee.

WITNESS our hand and seal

Date 7/25/05 Signature [Signature]⁽³⁾ Typed Name: Tom Westberg

(4) State of _____, County of _____

Signed before me on this _____ day of _____, 19____

by Tom Westberg
Inventor_____
Notary PublicDate 7/28/05 Signature [Signature]⁽³⁾ Typed Name: Rohit Vishnoi(4) State of Illinois, County of LakeSigned before me on this 28 day of July, 2005by Rohit Vishnoi
Inventor[Signature]
Notary Public

Date _____ Signature _____

⁽³⁾ Typed Name: _____

(4) State of _____, County of _____

Signed before me on this _____ day of _____, 19____

by _____
Inventor_____
Notary Public

Date _____ Signature _____

⁽³⁾ Typed Name: _____

(4) State of _____, County of _____

Signed before me on this _____ day of _____, 19____

by _____
Inventor_____
Notary Public



United States Patent and Trademark Office

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Assignments on the Web > Patent Query

Patent Assignment Abstract of Title

**NOTE: Results display only for issued patents and published applications.
For pending or abandoned applications please consult USPTO staff.**

Total Assignments: 1

Patent #: 6709412 Issue Dt: 03/23/2004 Application #: 09976833 Filing Dt: 10/13/2001Publication #: US20020090319 Pub Dt: 07/11/2002

Inventors: Mark R. Vandlik, Michael J. Kast, Kelly B. Smith

Title: BLOOD PROCESSING SYSTEMS AND METHODS THAT EMPLOY AN IN-LINE LEUKOFILTER
MOUNTED IN A RESTRAINING FIXTURE

Assignment: 1

Reel/Frame: 012582/0905

Recorded: 02/12/2002

Pages: 2

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: VANDLIK, MARK R.

Exec Dt: 01/22/2002

KAST, MICHAEL J.

Exec Dt: 01/21/2002

SMITH, KELLY B.

Exec Dt: 01/21/2002

Assignee: BAXTER INTERNATIONAL INC

ONE BAXTER PARKWAY(2-2E)

DEERFIELD, ILLINOIS 60015

Correspondent: RYAN KROMHOLZ & MANION, S.C.

DANIEL D. RYAN

P.O. BOX 26618

MILWAUKEE, WI 53226

Search Results as of: 08/02/2005 11:11 AM

If you have any comments or questions concerning the data displayed, contact OPR / Assignments at 703-308-9723

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CONSENT OF ASSIGNEE
TO CHANGE OF INVENTORSHIP
PURSUANT TO 37 C.F.R. §1.48(c)

Commissioner of Patents
and Trademarks
Washington, D.C. 20231

Sir:

Baxter International Inc., One Baxter Parkway, Deerfield, Illinois 60015, the owner of 100% interest in this U.S. Patent Application by virtue of assignment, hereby assents to the correction of inventorship filed herewith, namely adding Tom Westberg and Rohit Vishnoi as co-inventors.

I state that I am authorized to act on behalf of the assignee.

In accordance with 37 C.F.R. 3.73, the assignee hereby certifies that the evidentiary documents with respect to ownership have been reviewed and that, to the best of the assignee's knowledge and belief, title is in the assignee seeking to take this action.

Dated

August 3, 2005

By

David P. Scharf

D.P.S.

Typed Name David P. Scharf

Assistant Corporate Secretary
Title and Associate General Counsel

RYAN KROMHOLZ & MANION, S.C.

17289

		CHECK		
DATE	DESCRIPTION	INVOICE #	AMOUNT	DEDUCTION
08/04/05	Commissioner for Patents F-5489 CIP 2 CON Petition 37 CFR 1.183		130.00	
				130.00

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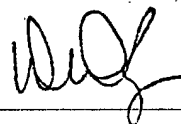
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MILWAUKEE, WI 53226-0618

ASSOCIATED BANK
79-57-759

DATE	CHECK	AMOUNT
08/04/05	*****	\$130.00

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TO THE
ORDER
OF: Commissioner for Patents

*** ONE HUNDRED THIRTY & 00/100 DOLLARS



⑈017289⑈ ⑈075900575⑈ 0014 033 548⑈